

10/26/98

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# **VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(e))—SMALL BUSINESS CONCERN**

Docket Number (Optional)  
 1133-13.1

Applicant or Patentee: Charles Kownacki, et al

Application or Patent No.: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

Title: Pneumatic Engine

I hereby declare that I am

- ☐ the owner of the small business concern identified below.  
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF SMALL BUSINESS CONCERN Spin Master Toys, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 250 The Esplanade, 4th Floor  
Toronto, M5A 1J2, Canada

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- Each person, concern, or organization having any rights in the invention is listed below.  
☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Ronnen Harary

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 250 The Esplanade, 4th Floor  
Toronto, M5A 1J2, Canada

SIGNATURE [Signature]

DATE Oct 5, 1998

Burden Hour Statement: This form is estimated to take 0.3 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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10/26/98

## DECLARATION FOR PATENT APPLICATION

Docket Number (Optional)  
1133-13.1

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
**Pneumatic Engine**, the specification of which

is attached hereto unless the following box is checked:

☐ was filed on \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

☐ Yes ☐ No☐ Yes ☐ No☐ Yes ☐ No

(Number)	(Country)	(Day/Month/Year Filed)
(Number)	(Country)	(Day/Month/Year Filed)
(Number)	(Country)	(Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

60/081,045	04/09/98	Pending
(Application Number)	(Filing Date)	(Status - patented, pending, abandoned)
(Application Number)	(Filing Date)	(Status - patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

**MELVIN K. SILVERMAN** Reg. No. 26,234  
 Address all telephone calls to **MELVIN K. SILVERMAN** at telephone number (954) 492-0071  
 Address all correspondence to **MELVIN K. SILVERMAN**  
 4901 NORTH FEDERAL HIGHWAY  
 SUITE 440  
 FORT LAUDERDAL, FL 33308

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor (given name, family name) **Charles D. Kownacki**Inventor's signature *Charles D. Kownacki* Date **Oct 5<sup>th</sup> 1998**Residence **Erie, Pennsylvania** Citizenship **U.S.**Post Office Address **3675 Julie Court  
Erie, PA 16506**Full name of second joint inventor, if any (given name, family name) **Jeffrey G. Rehkemper**Second Inventor's signature *Jeffrey G. Rehkemper* Date **10/7/98**Residence **Chicago, Illinois** Citizenship **U.S.**Post Office Address **1300 W. Washington Blvd.  
Chicago, IL 60607**

Additional inventors are being named on separately numbered sheets attached hereto.

## DECLARATION FOR PATENT APPLICATION

Docket Number (Optional)

1133-13.1

As a below named inventor, I hereby declare that:

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Pneumatic Engine, the specification of which

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I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Claimed

☐ Yes ☐ No☐ Yes ☐ No☐ Yes ☐ No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

<u>60/081,045</u>	<u>04/09/98</u>	<u>Pending</u>
(Application Number)	(Filing Date)	(Status - patented, pending, abandoned)
<u>        </u>	<u>        </u>	<u>        </u>
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Address all correspondence to	<u>MELVIN K. SILVERMAN</u>	
	<u>4901 NORTH FEDERAL HIGHWAY</u>	
	<u>SUITE 440</u>	
	<u>FORT LAUDERDALE, FL 33308</u>	

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Full name of sole or first inventor (given name, family name)	<u>Ronnen Harary</u>
Inventor's signature	<u>[Signature]</u> Date <u>Oct. 4, 1998</u>
Residence	<u>Toronto, Canada</u> Citizenship <u>Canada</u>
Post Office Address	<u>250 The Esplanade</u>
	<u>Toronto, M5A 1J2, Canada</u>

Full name of second joint inventor, if any (given name, family name)	_____
Second Inventor's signature	_____ Date _____
Residence	_____ Citizenship _____
Post Office Address	_____

☐ Additional inventors are being named on separately numbered sheets attached hereto.